

Guarantee Advice Internal Amendment User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Guarantee Advice Internal Amendment User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office Platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during Transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advice Internal Amendment

Guarantee Advice Internal Amendment allows the user to change the Limit/ Cash collateral details or to capture the MT 768 acknowledgment details received from Advise through bank.

Limits and Cash Collateral change are usually done when the Advising Bank or Advise through bank has confirmed the SBLC and in such cases the Limits/ Cash Collateral maintained by Issuing Bank is changed.

Following data can be changed during Internal Amendment

- Line ID
- CASA account for Cash Collateral
- Charges Simulated on account of this task
- Suppress of Advices generated on account of this task

Data received on account of Incoming MT 768 need to be captured as received.

This chapter contains the following topics:

[Common Initiation Stage](#)

[Registration](#)

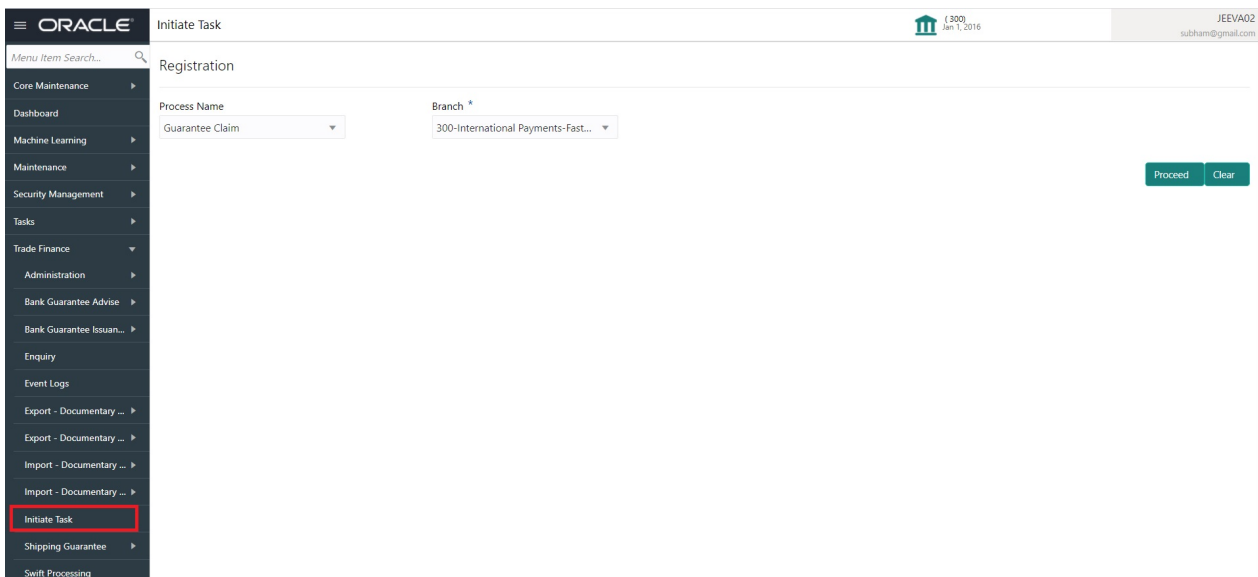
[Data Enrichment](#)

[Multi Level Approval](#)

Common Initiation Stage

The user can initiate the new guarantee advise internal amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.

Field	Description
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

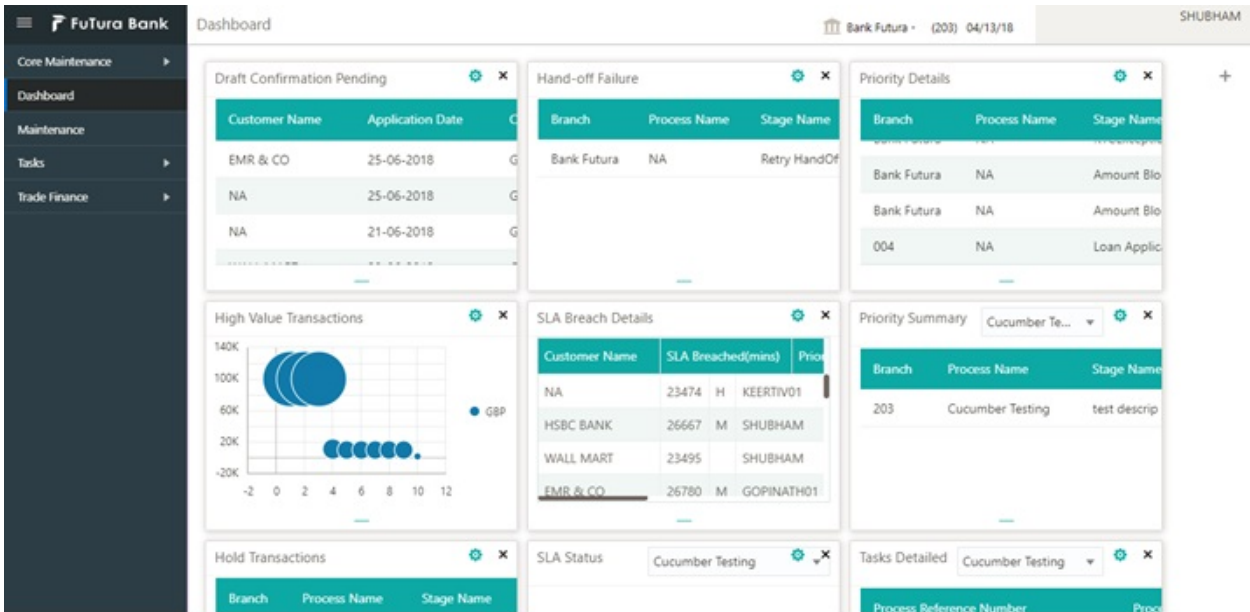
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

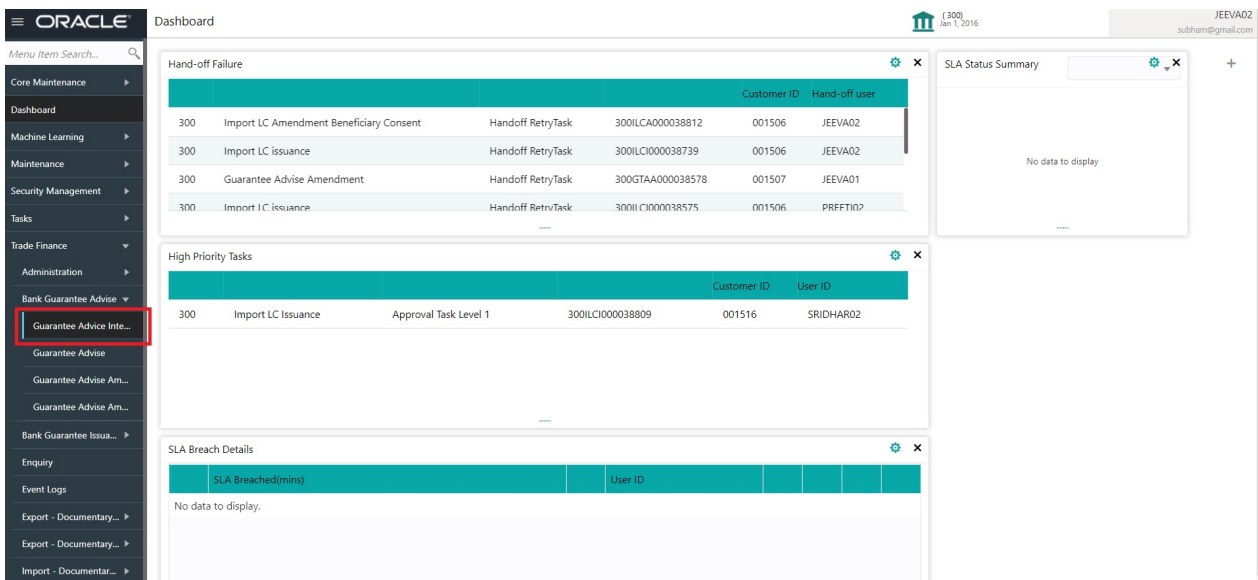
As a Registration user, you can register an internal amendment to a Guaranteed/SBLC Advised request, also can upload relevant documents and verify checklist items. If the request is received by mail/Courier, you can update the request.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Advise > Guarantee Advice Internal Amendment.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the Registration stage:

In case of STP of incoming SWIFT MT 768, a task should be directly created in Data Enrichment Stage after the required business validations and Registration stage is not applicable.

Application Details

Application Details

Advising Bank Reference Number PK2GUAD19081AA16	Beneficiary * 001044 GOODCARE PLC	Branch * PK2-FLEXCUBE UNIVERSAL BANK	Priority * Medium
Submission Mode * Desk	Process Reference Number PK2GTAI00057521	Issuer * 000987 CITIBANK	Issuing Bank Reference ref
Amendment Date May 6, 2019			

Guarantee Details

22D - Form of Undertaking	20 - Undertaking Number	Product Code GUAD	Product Description Guarantee Advising
32B - Undertaking Amount GBP £30,000.00	22K - Type of Undertaking BILL - Bill of lading	22A - Purpose of Message ADV1 - Advice of issued underta...	23X - File Identification
23C - Narrative	40C - Applicable Rules None - Not subject to any rules	40C - Narrative OTHR	50 - Applicant Name 000049 Blackworth Phar
59A - Beneficiary Name 001044 GOODCARE PLC	56A - Advising Bank	39D - Additional Amounts	23B - Expiry Type FIXD
31E - Date of Expiry Jun 20, 2019	35G - Expiry Condition/ Event	51 - Obligor/ Instructing Party	

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	Enter the advising bank reference number or alternatively select it from LOV'. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Beneficiary	Read only field. Beneficiary name is auto-populated from Guarantee /SBLC Advise.	001345
Branch	Read only field. Branch Name will be auto-populated from Guarantee /SBLC Advise.	203-Bank Futura -Branch FZ1
Priority	This field will be defaulted based on the priority maintained for the customer. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Select the submission mode of Guarantee Advice Internal Amendment request. Desk - Request received through Desk Courier - Request received through Courier Email - Request received through Email	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV00 15920

Field	Description	Sample Values
Issuer	Read only field. System defaults the Issuing Bank as per the Guarantee Advice details.	
Issuing Bank Reference	Read only field. System defaults the Issuing Bank reference from Guarantee Advice details.	Advising Bank Reference
Amendment Date	System defaults the branch's current system date.	04/13/2018

Guarantee Details

Registration user can view the latest LC values defaulted in the respective fields in the Guarantee Details in this section. All the fields in the Guarantee Details section is read only.

The screenshot shows the Oracle Guarantee Details form. The 'Guarantee Details' section is highlighted with a red box. The fields in this section are:

- 22D - Form of Undertaking
- 20 - Undertaking Number
- Product Code
- Product Description
- 32B - Undertaking Amount
- 22K - Type of Undertaking
- 22A - Purpose of Message
- 23X - File Identification
- 23X - Narrative
- 40C - Applicable Rules
- 40C - Narrative
- 50 - Applicant Name
- 59A - Beneficiary Name
- 56A - Advising Bank
- 39D - Additional Amounts
- 23B - Expiry Type
- 31E - Date of Expiry
- 35G - Expiry Condition/ Event
- 51 - Obligor/ Instructing Party

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. System defaults the value from Guarantee Advice details.	
Undertaking Number	Read only field. System defaults the value from Guarantee/ SBLC Advise.	
Product Code	Read only field. System defaults the value from Guarantee/ SBLC Advised.	GUIA

Field	Description	Sample Values
Product Description	Description of the product. Read only field. System defaults the value from Guarantee/ SBLC Advised.	Guarantee Advising
Undertaking Amount	Read only field. System defaults the outstanding value available from Guarantee/ SBLC Advise.	
Type of Undertaking	Read only field. System defaults the value from Guarantee/ SBLC Advised.	ADVP
Purpose of message	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
File Identification	The type of delivery channel and its associated file name or reference. Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Narrative	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	URDG - Uniform rules for demand guarantees
Narrative	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Applicant Name	Read only field. System defaults the value from Guarantee/ SBLC Advised.	001345 Nestle
Beneficiary Name	Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance. User can modify the beneficiary if required.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank from Guarantee/ SBLC Advised.	
Additional Amounts	Read only field. Additional Amount covered as per the latest LC details is displayed in Guarantee Advised.	

Field	Description	Sample Values
Expiry Type	Validity of the guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Date Of Expiry	Expiry Date of Guarantee. System defaults the value from Guarantee/ SBLC Advised.	09/30/18
Expiry Condition/Event	Expiry Date of Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	09/30/18
Obligor/Instructor Party	The party obligated to reimburse the issuer.	

Miscellaneous

The screenshot shows the Oracle Financials interface for a 'Guarantee Advice Internal Amendment'. The top navigation bar includes the Oracle logo, 'My Tasks', and user information (JEEVA02, subham@gmail.com). The main form is titled 'Guarantee Advice Internal Amendment' and has three buttons: 'View Signature', 'Documents', and 'Remarks'. Below this, the 'Application Details' section contains fields for Advising Bank Reference Number, Beneficiary, Branch, Priority, Submission Mode, Process Reference Number, Issuing Bank, Issuing Bank Reference, and Amendment Date. The 'Guarantee Details' section contains fields for 22D - Form of Undertaking, 20 - Undertaking Number, Product Code, Product Description, 32B - Undertaking Amount, 22A - Purpose of Message, 23X - File Identification, 23X - Narrative, 40C - Applicable Rules, 40C - Narrative, 50 - Applicant, Beneficiary Name, Advise Through Bank Reference, 39D - Additional Amounts, 23B - Expiry Type, Date Of Expiry, 35G - Expiry Condition/Event, and 51 - Obligor/ Instructing Party. At the bottom right, there are buttons for 'View Guarantee' and 'Guarantee Events'. At the very bottom right, there are buttons for 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Verify Signature	System displays the details of Authorized signatories. The pop up box displays the signature id, signature title and image of the signature for verification.	
Documents	Upload the required documents. E.g.: Guarantee/ SBLC Application, Indemnity, Counter Guarantee	
Remarks	Provide any additional information regarding the Guarantee Advise Amendment. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
View Guarantee	Clicking on View Guarantee button, user can view the the snapshot of latest Guarantee Advised details.	
Guarantee Events	Clicking on Guarantee Events button, user can view the snapshot of various events under the Guarantee Advised.	
Action Buttons		
Submit	On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advise Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Advise Amendment. Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	System displays the mandatory and optional checklist items. Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Data Enrichment

At this stage you can register a request for Internal Amendment of Guarantee/SBLC Advised.

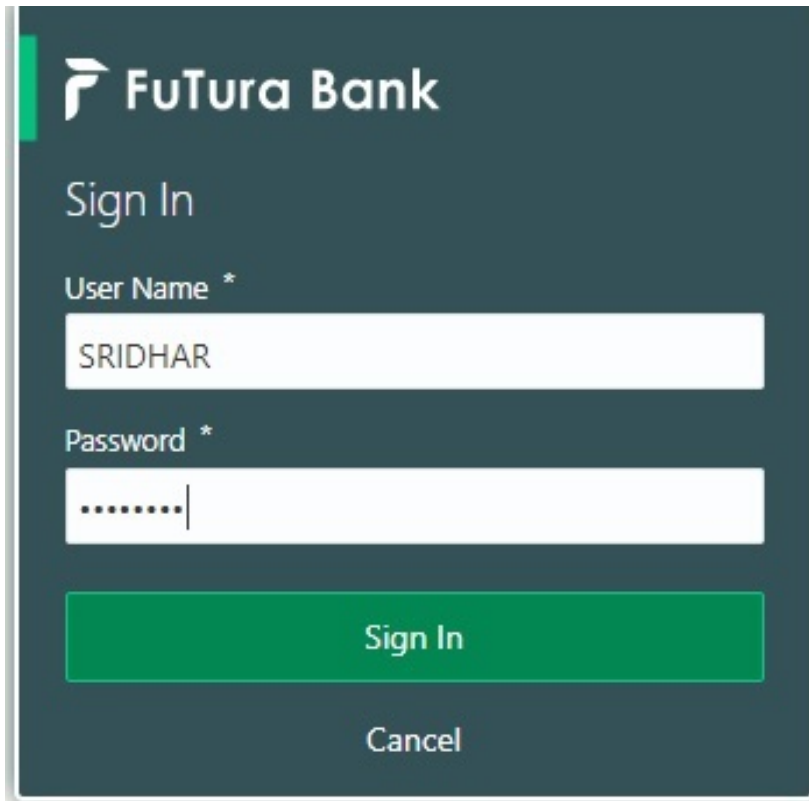
As part of Data Enrichment, you can update the details already captured in Registration stage. If details are not captured in DE stage, you can input the details.

If the request is received by mail/Courier, the user can to update the request. The request will have the details entered during the Registration stage.

If the request is received by SWIFT, then the task needs to be auto created and available for the user to handle.

Do the following steps to acquire a task which completed the Registration and currently at Data enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance> Tasks> Free Tasks**.

ORACLE Free Tasks 1,800 APR 1, 2016 JECVAUZ subham@gmail.com

Menu Item Search...

Refresh Acquire Assign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input type="checkbox"/> Acquire & E...	M	Guarantee Advise Inter...	300GTAI000038844	300GTAI000038844	DataEnrichment	20-11-17	300	001505
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038833	300ILCI000038833	Approval Task Level 1	20-11-15	300	001509
<input type="checkbox"/> Acquire & E...	M	Guarantee Advise	000GTEA000038838	000GTEA000038838	Scrutiny	20-11-16	300	
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038831	300ILCI000038831	Scrutiny	20-11-14	300	001508
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038744	300ILCI000038744	Scrutiny	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000038829	000ILCI000038829	Scrutiny	20-11-14	300	000887
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	300ILCI000038809	300ILCI000038809	Approval Task Level 1	20-11-12	300	001516
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing	300ILCD000038822	300ILCD000038822	Approval Task Level 1	20-11-13	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Amendment ...	300ILCA000038812	300ILCA000038812	Handoff RetryTask	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...		Import Documentary C...	300IDCU000038810	300IDCU000038810	Registration	20-11-12	300	001505
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000038743	000ILCI000038743	DataEnrichment	20-11-12	300	000947
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038750	300ILCI000038750	Reject Approval	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038739	300ILCI000038739	Handoff RetryTask	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Shipment Guarantee Iss...	000GTCI000038740	000GTCI000038740	Approval Task Level 1	20-11-12	300	

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Navigation menu (left sidebar): Core Maintenance, Dashboard, Machine Learning, Maintenance, Security Management, Tasks (Awaiting Customer Clarif..., Completed Tasks, **Free Tasks**, Hold Tasks), My Tasks, Search, Supervisor Tasks, Trade Finance (Administration, Bank Guarantee Advise, Guarantee Advice Inte..., Guarantee Advice, Guarantee Advice Am...)

4. Select the appropriate amendment task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Guarantee Advise Inter...	300GTAI000038844	300GTAI000038844	DataEnrichment	20-11-17	300	001505
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038833	300ILCI000038833	Approval Task Level 1	20-11-15	300	001509
<input type="checkbox"/> Acquire & E...	M	Guarantee Advise	000GTEA000038838	000GTEA000038838	Scrutiny	20-11-16	300	
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038831	300ILCI000038831	Scrutiny	20-11-14	300	001508
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038744	300ILCI000038744	Scrutiny	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000038829	000ILCI000038829	Scrutiny	20-11-14	300	000887
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	300ILCI000038809	300ILCI000038809	Approval Task Level 1	20-11-12	300	001516
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing	300ILCD000038822	300ILCD000038822	Approval Task Level 1	20-11-13	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Amendment ...	300ILCA000038812	300ILCA000038812	Handoff RetryTask	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Import Documentary C...	300IDCU000038810	300IDCU000038810	Registration	20-11-12	300	001505
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000038743	000ILCI000038743	DataEnrichment	20-11-12	300	000947
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038750	300ILCI000038750	Reject Approval	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038739	300ILCI000038739	Handoff RetryTask	20-11-12	300	001506

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	M	Guarantee Advise Inter...	300GTAI000038844	300GTAI000038844	DataEnrichment	20-11-17	300	001505	
<input type="checkbox"/> Edit		Guarantee Advise Inter...	300GTAI000038843	300GTAI000038843	Registration	20-11-17	300	001505	
<input type="checkbox"/> Edit		Guarantee Advise Inter...	300GTAI000038842	300GTAI000038842	Registration	20-11-17	300	001505	
<input type="checkbox"/> Edit	M	Guarantee Issuance	000GTEI000038837	000GTEI000038837	Scrutiny	20-11-16	300	000863	
<input type="checkbox"/> Edit	M	Guarantee Advise Amen...	300GTEA000038705	300GTEA000038705	DataEnrichment	20-11-11	300	001507	
<input type="checkbox"/> Edit	M	Guarantee Advise Amen...	300GTEA000038704	300GTEA000038704	DataEnrichment	20-11-11	300	001507	
<input type="checkbox"/> Edit	M	Export LCCancellation	300ELCC000038683	300ELCC000038683	DataEnrichment	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038666	300ELCU000038666	Registration	20-11-10	300	001564	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038665	300ELCU000038665	Registration	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038664	300ELCU000038664	Registration	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038662	300ELCU000038662	Registration	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038661	300ELCU000038661	Registration	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038660	300ELCU000038660	Registration	20-11-10	300	001506	

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The Guarantee Advise Internal Amendment - Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for Guarantee Advise Internal Amendment - Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

Refer to [Application Details](#) in the Registration section for more information of the fields.

Application Details

Advising Bank Reference Number PK2GUR19001APUN	Beneficiary 001043 MARKS AND SP	Branch PK2-FLEXCUBE UNIVERSAL BANK	Priority * Medium
Submission Mode Desk	Process Reference Number PK2GTAI00039988	Issuing Bank *	Issuing Bank Reference
Amendment Date Mar 22, 2019			

Guarantee Details

22D - Form of Undertaking	20 - Undertaking Number	Product Code GLUR	Product Description
32B - Undertaking Amount £76,355.00	Guarantee Type CUST - Customs	22A - Purpose of Message	23X - File Identification
23X - Narrative	40C - Applicable Rules URDG - Uniform rules for dema...	40C - Narrative	50 - Applicant 001044 GOODCARE PLC
Beneficiary Name 001043 MARKS AND SP	Advise Through Bank	Advise Through Bank Reference	39D - Additional Amounts
23B - Expiry Type FIXD	Date Of Expiry Jul 23, 2019	35G - Expiry Condition/Event	51 - Obligor/ Instructing Party

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. User can Input/ update the fields except the Product Code field.

Application Details

Advising Bank Reference Number 300GUIS16001ASDP	Beneficiary 001507 GOODCARE PLC	Branch	Priority * Medium
Submission Mode Desk	Process Reference Number 300GTAI000038885	Issuing Bank *	Issuing Bank Reference
Amendment Date Jan 1, 2016			

Guarantee Details

22D - Form of Undertaking	20 - Undertaking Number	Product Code GUIS	Product Description
32B - Undertaking Amount £100,000.00	Guarantee Type	22A - Purpose of Message	23X - File Identification
23X - Narrative	40C - Applicable Rules URDG - Uniform rules for dema...	40C - Narrative	50 - Applicant 001506 MARKS AND SP
Beneficiary Name 001507 GOODCARE PLC	Advise Through Bank	Advise Through Bank Reference	39D - Additional Amounts
23B - Expiry Type	Date Of Expiry Aug 18, 2020	35G - Expiry Condition/Event	51 - Obligor/ Instructing Party

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the details captured in the screen.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

The screenshot shows the Oracle application interface for 'Guarantee Advise Internal Amendment - DataEnrichment'. The application number is PK2GTAI000039988. The 'Additional Fields' section is currently empty, displaying the message 'No Additional fields configured!'. The interface includes a navigation menu on the left and a toolbar at the bottom with buttons for 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advise Amendment inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Advise Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	



Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	



Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot shows the Oracle application interface for 'Guarantee Advise Internal Amendment - DataEnrichment'. The application number is PK2GTAI000039988. The user is logged in as JEEVA02 (subham@gmail.com) on Mar 22, 2019. The 'Advices' section is active, displaying a single advice: 'Advice : PAYMENT_MESSAGE'. The details for this advice are: Advice Name : PAYMENT_MESSAGE, Advice Party : Party Name, and Suppress : NO. The interface includes a navigation menu on the left with options like 'Main Details', 'Additional Fields', 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. The top toolbar contains buttons for 'Clarification Details', 'Documents', 'Overrides', and 'View Undertaking'. The bottom toolbar contains buttons for 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'. The screen is identified as 'Screen (3 / 6)'.

The user can also suppress the Advice, if required.

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Issuance.</p>	
Free Format Text		
FTT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	

Field	Description	Sample Values
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Back	On clicking the Back, system should move the task to the previous segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Additional Details

In the Additional details section, user can enter, update and verify the additional details Data Segment of Internal Amendment of Guarantee/ SBLC Advised request. User can change the values in Limits and Collateral section and Charges section.

Change to existing Line or cash collateral is applicable when the advising bank has also confirmed the SBLC and changes the details Issuing bank Line/ Cash Collateral.

ORACLE Free Tasks (PK2) Mar 22, 2019 JEEVA02 subham@gmail.com

Guarantee Advise Internal Amendment - DataEnrichment :: Application No: PK2GTAI000039988 Clarification Details Overrides View Undertaking Screen (4 / 6)

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Details

Limit & Collateral

Limit Currency :
Limit Contribution :
Limit Status :
Collateral Currency : **GBP**
Collateral Contribution : **6108.4**
Collateral Status :

Charge Details

Charge :
Commission :
Tax :
Block Status :

Audit




Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Limit and Collateral




In this section user can to attach more than one line.

Limits Details



x
Limit & Collateral

Limit Details




	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/>	001505		100	GBP	£100,000.00		

Collateral Details




	Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/>	Cash Collateral	10	GBP	£10,000.00			

 Save & Close
 Close

x
Limit Details

Customer ID

Contribution % ^{*} ▼ ▲

Contribution Currency

Limit Currency

Limit Check Response

Line ID ^{*}



Limits Description

Contribution Amount ^{*}



Limit Available Amount


Response Message

Verify

 Save & Close
 Cancel

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
	Click edit icon to edit any existing Limit Details.	
	Click plus icon to add new Limit Details.	




Field	Description	Sample Values
	Click minus icon to remove any existing Limit Details.	
Customer ID	This field displays the applicant's bank customer ID.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

Collateral Details

Collateral Details
✕

Collateral Type * <input type="text" value="Cash Collateral"/>	Collateral % * <input type="text" value="10.0"/>
Currency <input type="text" value="GBP"/>	Contribution Amount * <input type="text" value="£7,635.50"/>
Settlement Account * <input type="text" value="PK20010430013"/>	Settlement Account Branch <input type="text" value="PK2"/>
Settlement Account Currency <input type="text" value="USD"/>	Account Available Amount <input type="text" value="\$99,832,937.53"/>
Response <input type="text" value="Available"/>	Response Message <input type="text" value="The amount block can be performed"/>

Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Collateral Details.	
	Click plus icon to add new Collateral Details.	
	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	Read only field. The guarantee currency will get defaulted in this field.	

Field	Description	Sample Values
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

After Advices, clicking on Next button and landing on the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
LCSWIFTAMN	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	User can enter a new amount in 'Modified' field. This will be the new charge for the modified component.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	

Field	Description	Sample Values
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Commission Details

Commission Details									
	Rate			Modified	Defer	Waive	Charge Party	Settlement Account	
AGUIR_COMM	1.5	GBP	£17,530.74		<input type="checkbox"/>	<input type="checkbox"/>		30000015050028	<input type="text"/>

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

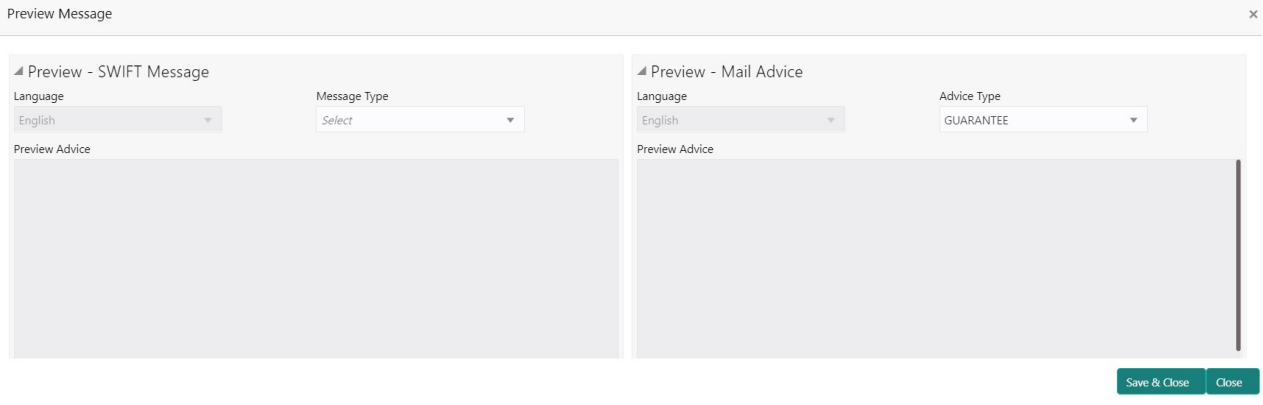
Tax Details						
			Billing	Defer	Settlement Account	
LCTAX	GBP	8000	<input type="checkbox"/>	<input type="checkbox"/>	30000015050028	🔍
LCTAX	GBP	8000	<input type="checkbox"/>	<input type="checkbox"/>	30000015050028	🔍
LCTAX1	GBP	0	<input type="checkbox"/>	<input type="checkbox"/>	30000015050028	🔍
LCTAX1	GBP	0	<input type="checkbox"/>	<input type="checkbox"/>	30000015050028	🔍
LCTAX2	GBP	876.54	<input type="checkbox"/>	<input type="checkbox"/>	30000015050028	🔍
LCTAX2	GBP	876.54	<input type="checkbox"/>	<input type="checkbox"/>	30000015050028	🔍

Field	Description	Sample Values
Component	Tax Component type.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

The preview message simulated from the back office and the user can view the message.



Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	

Action Buttons

Use action buttons based on the description in the following table:

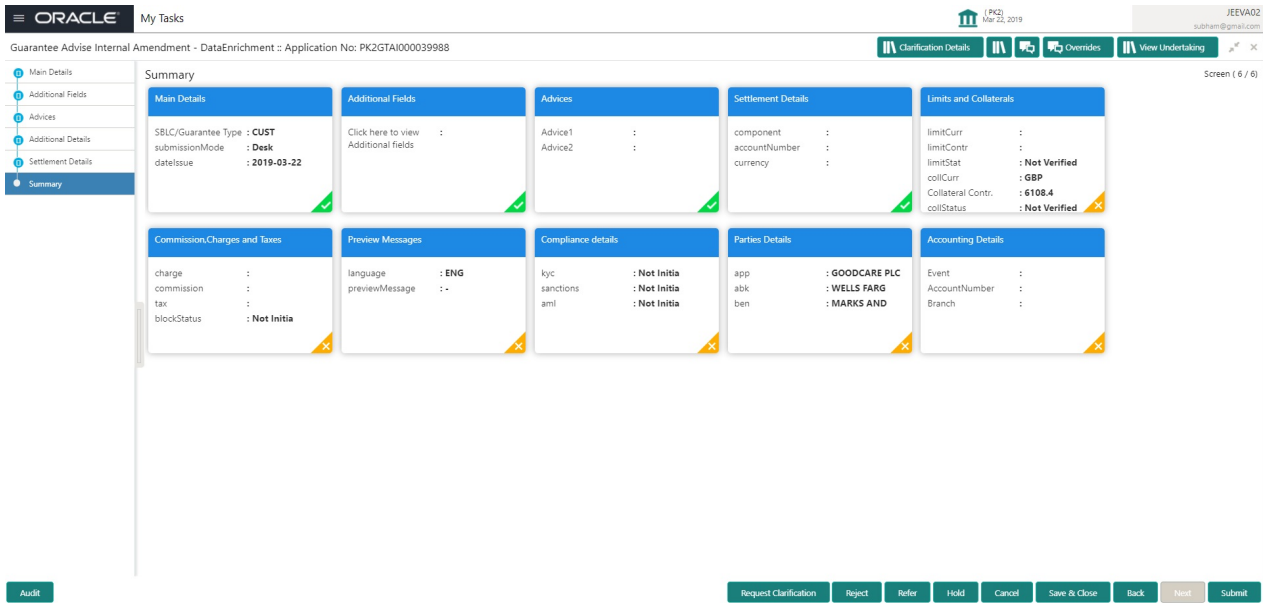
Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Back	On clicking the Back, system should move the task to the previous segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Data Enrichment - Summary

User can review the summary of details updated in Data Enrichment Internal Amendment of Guarantee/ SBLC Advised request.

The Summary tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User can drill down from Summary Tiles into respective data segments.



Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can only view but cannot modify the details.
- Additional Fields – User can view the UDF maintained.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Guarantee Details - User can view the Guarantee details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot modify the details.
- Accounting Entries - User can view the accounting entries generated in back office.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Multi Level Approval

The Approval user can approve a Guarantee Advice Internal Amendment Transaction.

Log in into OBTFPM application and acquire the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

The user should be able to view the Approval summary.

Summary

The screenshot displays the Oracle OBTFPM application interface for a 'Guarantee Advise Internal Amendment - Approval Task Level 1'. The application number is 000GTAI000038915. The summary dashboard includes the following tiles:

- Main Details:** SBL/Guarantee Type: TEND, submissionMode: Desk, dateIssue: 2014-01-01.
- Additional Fields:** Click here to view Additional fields.
- Advices:** Advice1, Advice2.
- Settlement Details:** component, accountNumber, currency.
- Limits and Collaterals:** limitCurr, limitContr, limitStat (Not Verified), collCurr (GBP), Collateral Contr. (100), collStatus (Not Verified).
- Commission, Charges and Taxes:** charge, commission, tax, blockStatus (Not Initia).
- Preview Messages:** language (ENG), previewMessage (2).
- Compliance details:** kyc (Verified), sanctions (Not Initia), aml (Not Initia).
- Parties Details:** app (NESTLE).

Tiles Displayed in Summary:

The tiles pertaining to the fields that are amended is highlighted in different color for the approver user.

- Main Details - User can view the application details and Guarantee/ Standby details. User can only view but cannot modify the details.
- Additional Fields – User can view the UDF maintained.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.

- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Guarantee Details - User can view the Guarantee details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot modify the details.
- Accounting Entries - User can view the accounting entries generated in back office.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Guarantee Advice approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Handoff:

Once the task is Approved, the task is handed off to the back office (LCDGUONL) for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task goes to retry handoff queue. The user can manually try to initiate handoff.

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

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Feedback and Support

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